Attorney's Docket No.: 12732-088001 / US5398/5543

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Satoshi Seo et al. Art Unit: 2879

Serial No.: 10/024,699 Examiner: Matthew Hodges

Filed : December 21, 2001 Conf. No. : 6811

Title : LIGHT EMITTING DEVICE HAVING ORGANIC LIGHT EMITTING

MATERIAL

MAIL STOP AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form.

Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 13, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. The \$180 late submission fee under \$1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.

Also, in the notice of allowance dated April 2, 2007, the Examiner indicates that references JP 10-233288 and JP 2001-52870 from the corrected PTO-1449 submitted on December 22, 2006 were not being considered due to a failure by the applicant to provide a translation or a statement of relevance for those references. Copies of the translated abstracts of those references are being submitted along with a copy of the prior PTO-1449 form that cited them, and applicant asks that the Examiner consider those references at this time.

Applicant: Satushi Seo et al. Attorney's Docket No.: 12732-088001 / US5398/5543

Serial No. : 10/024,699

Filed : December 21, 2001

Page : 2 of 2

Please apply any other charges or credits to Deposit Account No. 06 1050.

Respectfully submitted,

Date: May 14, 2007

Customer No. 26171
Fish & Richardson P.C.
1425 K Street, N.W. - 11th Floor
Washington, DC 20005-3500
Telephone: (202) 783-5070

Facsimile: (202) 783-2331 /adt 46417398.doc

Reg. No. 37,640

	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-088001	Application No. 10/024,699	
	Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Satoshi Seo et al.		
************			Filing Date December 21, 2001	Group Art Unit 2879	

	U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	AA							
	AB	,,,						
	AC							
	AD							
······································	AE				:	<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>		
	AF					:		
	AG							
	ΑĦ							
	AI	.,,				***************************************		
***************************************	AJ	***************************************						
	AK.			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub- class	Transla Yes	ation No	
	AL	03-190088	08/20/1991	JAPAN			Abstract		
	AM	03-274695	12/05/1991	JAPAN			Pull		
	.AN	04-357694	12/10/1992	JAPAN			Full		
	AO								
	AP								

	Other Do	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

ſ	Examiner Signature	Date Considered
1		
1	EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
1	next communication to applicant.	

Application No. Substitute Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. (Modified) 12732-088001 10/024,699 Applicant Information Disclosure Statement Satoshi Seo et al. Filing Date Group Art Unit.

by Applicant (Use several sheets if necessary)

2879 December 21, 2001 (37 CFR §1.96(b))

	g		.S. Patent C	ocuments	-		.
Examiner Initial	Desig. ID	Patent/Application Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	10/026,064	Pending	Seo et al.			01/10/2002
	AB	10/081,971	Pending	Yamazaki et al.			02/20/2002
	AC	10/072,310	Pending	Yamazaki et al.			02/05/2002
	AÐ	10/060,427	Pending	Kanagawa et al.			01/29/2002
	AE	10/062,005	Pending	Yamazaki et al.			01/31/2002
	AF	10/043,812	Pending	Kanagawa et al.			01/10/2002
	АG	10/081,558	Pending	Seo et al.			02/20/2002
· ·	AH	10/072,507	Pending	See et al.			02/05/2002
	ΑI	10/026,064	Pending	Seo et al.			12/21/2001
	-AJ.	09/852,090	Pending	Yamagata et al.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	05/10/2001
	AK	5,925,980	07/20/1999	So et al.			05/01/1997
·	AL	5,853,905	12/29/1998	So et al.			09/08/1997
	AM	6,130,001	10/10/2000	Shi et al.			07/15/1997
	AN	5,271,089	12/14/1993	Ozawa			11/04/1991
	ΆQ	6,285,039	09/04/2001	Kobori et al.			06/03/1998

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Tran	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AP	EP 1 065 737 A2	01/03/2001	Europe				
	ΛQ	10-233288	09/02/1998	Japan			Abs	
	AR	2001-52870	02/23/2001	Japan			Abs	
	AS							
	ΑT							

	Other D	ocuments (include Author, Title, Date, and Place of Publication)			
Examiner	Desig.				
Initial	ID	Document			
	XXT	.W. Tang et al.; "Organic Electroluminescent Diodes"; Applied Physics Letters, Vol. 51, No. 12;			
	MQ.	pp. 913-915; September 21, 1987			

The state of the s			
Examiner Signature	Date Cons	idered	
Managed and Contract and Character and		A15 - 4 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 -	
	1		
EXAMINER: Initials citation considered. Draw line through of	itation if not in conforma	ance and not considered.	Include copy of this form with
next communication to applicant.			

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-088001	Application No. 10/024,699	
by Applicant (Use several sheets if necessary)		Applicant Satoshi Seo et al.		
		Filing Date December 21, 2001	Group Art Unit 2879	

	Otner D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig.	Document
	AV	Yasunori Kijima et al.; "A Blue Organic Light Emitting Diode"; Japan Journal of Applied Physics, Vol. 38; pp. 5274-5277; September 1999
	AW	C.W. Tang et al.; "Electroluminescence of Doped Organic Thin Films"; Journal of Applied Physics, Vol. 65, No. 9; pp. 3610-3616; May 1, 1989
	AX	Chihaya Adachi et al.; "Electroluminescence in Organic Films with Three-Layer Structure"; Japanese Journal of Applied Physics, Vol. 27, No. 2; pp. L269-L271; February 1988
	AY	Tetsuo Tsutsui et al.; "New Aspect of Research and Development of Organic El."; M&BE Seminar (M&BE, Vol. 11, No. 1); pp. 3-12; 2000
	AZ	Takeo Wakimoto et al.; "Organic El. Cells Using Alkaline Metal Compounds as Electron Injection Materials"; IEEE Transactions on Electron Devices, Vol. 44, No. 8, pp. 1245-1248; August 1997
•	AAA	S.A. Van Slyke et al.; "Organic Electroluminescent Devices with Improved Stability"; Applied Physics Letter, Vol. 69, No. 15; pp. 2160-2162; October 7, 1996
	ABB	D.F. O'Brien et al.; "Improved Energy Transfer in Electrophosophorescent Devices"; Applied Physics Letter, Vol. 74, No. 3; pp. 442-444; January 18, 1999
	ACC	Tetsuo Tsutsui et al.; "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center"; Japan Journal of Applied Physics, Vol. 38, Part 2, No. 12B pp. L1502-L1504; December 15, 1999
	ADD	Tetsuo Tsutsui; "The Operation Mechanism and the Light Emission Efficiency of the Organic EL Element"; Test of the Third Lecture Meeting; Bulletin of Organic Molecular/Bioelecronics Subcommittee. Society of Applied Physics; pp. 31-37 w/translation; 1993
	AEE	Junji Kido et al.; "Multilayer White Light-Emitting Organic Electroluminescent Device"; Science, Vol. 267; pp. 1332-1334; March 3, 1995

Evaminar Signatura	Onto Paraidan	5d	
exammer piñname	Date Division	Sr.	